Sheet 1

of 4

PTO/SB/08A (04-07)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Attorney Docket Number 10002-701,407

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			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f Ancount)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevan Figures Appear
	406	US- 2,502,902	4/4/1950	Tofflemire, Benjamin	
	405	US- 3,710,789	1/16/1973	Ersek, Robert	
	442	US- 3,941,127	3/2/1976	Froning	
	403	US- 4,502,161	3/5/1985	Wall, William	
	431	^{US-} 4,554,914	11/26/1985	Kapp et al.	
	432	US- 4,697,582	10/6/1987	William	
	433	US- 4,957,495	9/18/1990	Kluger	
	434	^{US-} 5,413,576	5/9/1995	Rivard	
	430	^{US-} 5,415,659	5/16/1995	Lee et al.	
	404	US- 5,443,483	8/22/1995	Kirsch, Axel	
	444	US- 7,302,288	11/27/2007	Schellenberg	
	436	^{US-} 2002/0068975-A1	6/6/2002	Teitelbaum et al.	
	445	US- 2004/0260305-A1	12/23/2004	Gorensek et al.	
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This collection of information is required by 37 CFR 197 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USFTO by process) an application. Confiderability is operande by 35 U.S.C. 122 and 37 CFR 1.41. This collection is estimated to take 2 hours to complete, including asthering, preparing, and submitting the completed application form to the USFTO. Time will vary depending upon the individual case. Any comments on the amount of the you require to complete his form and/or suggesteriors for reducing this burden, should be sent to the Other Information Officer, U.S. Pellert. and Trademark Office, P.D. Dox 1450, Alexandris, W. 2231-4450. Do NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Dox 1450, 1849, 1849, 1849, 1842-1844.

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Substitu	te for form 1449/PTO			Complete if Known			
				Application Number	10/658,449		
			CLOSURE	Filing Date	September 9, 2003		
STATEMENT BY APPLICANT				First Named Inventor	Mark Reiley		
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Sheet	2	of	4	Attorney Docket Number	10002-701.407		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	378	REILEY et al; U.S. Pat. App. # 11/577,923 entitled "Crossbar spinal prosthesis having a modular design and systems for treating spinal pathologies" filed 4/25/2007.	
	398	McLEER, THOMAS, U.S. Pat. App. # 11/934,724 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.	
	399	MCLEER, THOMAS, U.S. Pat. App. # 11/934,720 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.	
	400	McLEER, THOMAS, U.S. Pat. App. # 11/934,719 entitled "Polymeric Joint Complex and Methods of Use" filed 11/2/2007.	
	401	REILEY, MARK, U.S. Pat. App. #11/934,713 entitled "Facet arthroplasty devices and methods" filed 11/2/2007.	
	402	REILEY, MARK, U.S. Pat. App. # 11/939,540 entitled "Facet arthroplasty devices and methods" filed 11/13/2007.	
	408	REILEY, MARK, U.S. Pat. App. # 11/943,458 entitled "Facet arthroplasty devices and methods" filed 11/20/2007.	
	409	REILEY, MARK, U.S. Pat. App. #11/949,007 entitled "Facet arthropiasty devices and methods" filed 11/30/2007.	
	410	REILEY, MARK, U.S. Pat. App. #11/949,000 entitled "Facet arthroplasty devices and methods" filed 11/30/2007.	
	411	REILEY et al.; U.S. Pat. App. # 11/945,963 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 11/30/2007.	

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*EXAMINER: It	nitial if reference considered, whether or not citation is in conformance with MPEP 60	Draw line through o	itation if not in conformance and not
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(Sheet	3	of	4	Attorney Docket Number	10002-701.407	_	

		NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1							
	412	REILEY, MARK, U.S. Pat. App. # 11/957,208 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.						
	413	REILEY et al.; U.S. Pat. App. # 11/957,315 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.						
	414	REILEY, MARK; U.S. Pat. App. # 11/957,175 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.						
	415	REILEY et al.; U.S. Pat. App. # 11/957,290 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.						
	416	REILEY, MARK; U.S. Pat. App. # 11/956,961 entitled "Facet arthroplasty devices and methods" filled 12/14/2007.						
	417	REILEY, MARK; U.S. Pat. App. # 11/957,149 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.						
	418	REILEY, MARK; U.S. Pat. App. # 11/957,061 entitled "Facet arthroplasty devices and methods" filed 12/14/2007.						
	419	REILEY et al.; U.S. Pat. App. # 11/957,259 entitled "Prostheses, systems and methods for replacement of natural facet joints with artificial facet joint surfaces" filed 12/14/2007.						
	420	REILEY, MARK; U.S. Pat. App. # 12/016,177 entitled "Facet arthroplasty devices and methods" filed 01/17/2006.	,					
	421	KUIPER et al.; U.S. Pat. App. # 11/948,994 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007						

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/Christopher Prope/

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INF	ORMATION	DIS	CLOSURE	Filing Date	September 9, 2003		
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Sheet	4	of	4	Attorney Docket Number	10002-701.407		

Examiner	Cita	NON PATENT LITERATURE DOCUMENTS						
examiner Initials*	Cite No.1	ite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
	422	KUIPER et al.; U.S. Pat. App.# 11/648,973 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007						
	423	KUIPER et al.; U.S. Pat. App. # 11/957,303 entitled "Crossbar spinal prosthesis having a modular design and related implantation methods" filed 11/30/2007						
	424	McLEER, THOMAS; U.S. Pat. App. # 11/952,988 entitled *Polymeric joint complex and methods of use* filed 127/2007						
	429	YUAN et al.; U.S. Pat. App. # 12/027,899 entitled "Prostheses, tools and methods for replacement of natural facet joints with artificial facet joint surfaces," filed 2/7/2008						
	452	REILEY et al; U.S. Pat. App. # 12/058,403 entitled "Polyaxial adjustment of facet joint prostheses," filed 3/28/2008.						

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	nitial if reference considered, whether or not citation is in conformance with MPEP 60	Draw line through of	itation if not in conformance and not
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Applicant's unique citation designation murble (goldnate). Applicant is to please others, transit for just his parties of the packs exhibit in to file (not by the USPTO to process) an application. Confidentially is governed by 38 U.S.C. 122 and 37 CFR 1.1.4. This collection is estimated to take 2 hours to complete, including pathering, preparing, and submitting the completed application from the tell very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1145, Auctionality, AV 231-31-31-30. DON OT SERN PEED OR COMPLETED FORMS TO THIS ADDRESS. SERVING. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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